

WILLIAMS TRADING, L.L.C. DISCLOSURE AND PRIVACY NOTICE

We do not disclose nonpublic information about our clients or former clients to third parties other than as described below.

We collect information about you, such as your name, address, social security number, from our discussions with you, or from documents that you may deliver to us and in the course of providing services to you. We may use this information to provide services to you, to open an account for you, to process a transaction for your account or otherwise in furtherance of our business. In order to service your account and effect your transactions, we may provide your personal information to our affiliates and to firms that assist us in servicing your account and have need for such information, such as a broker or clearing agent. We require these third party service providers to protect the confidentiality of your information and to use the information only for the purposes for which we disclose the information to them. We do not otherwise provide information about you to outside firms, organizations or individuals except to our attorneys, accountants and auditors and as permitted by law. We will, however, release information about you if you direct us to do so or if compelled to do so by law.

We restrict access to nonpublic personal information about you to our employees who need to know that information to provide products or services to you. We maintain physical, electronic and procedural safeguards that comply with federal standards to guard your personal information.

Williams Trading, LLC is a member of the Securities Investor Protection Corporation (“SIPC”). As a customer of ours we are required to disclose that you may obtain information about SIPC, including the SIPC brochure, by contacting SIPC directly by telephone at (202) 371-8300 or by accessing the SIPC web page at <http://www.sipc.org>.

If you have any questions regarding the above please feel free to contact David Williams at (203) 353-7600.

Williams Trading LLC